### **REMARKS**:

In the non-final Office Action, the Examiner noted that claims 1-27 are pending in the application, that claims 1 through 15, 18 through 20, and 23 through 25 are rejected, and claims 16, 17, 21, 22, 26, and 27 are objected to. The Examiner notes that claims 16, 17, 21, 22, 26 and 27 would be allowable if rewritten to overcome the rejection of a base claim. For the reasons discussed below, Applicant respectfully submits that claims 1 through 27, including claims 2, 7, 13, 18 and 23 as amended herein, are in condition for allowance.

I.

### **Claim Objections**

The Examiner objected to claims 2 and 7 because of the following informalities: the "," at the end of the claim should be changed to a --.--. The requested change is reflected in the amendments to claims 2 and 7 above.

II.

### Claim Rejections - 35 USC § 102

### A. Claims 13 Through 15 - Summary of Examiner's Grounds for Rejection

The Examiner rejected claims 13 through 15 under 35 U.S.C. 102(b) as being anticipated by Studer (US 4,232,506). In paragraph 5, the Examiner asserts, in essence, that Studer discloses a harvester having all of the elements claimed within claims 13 through 15.

### B. Applicants' Reply to Examiner's Rejections

In response to the Examiner's rejection, Applicant responds that the Federal Circuit has stated that "anticipation requires the presence in a single prior art reference disclosure of each and every element of the claimed invention arranged as in the claim." Lindermann Maschinenfabrik GMBH v. American Hoist and Derrick (Fed. Cir. 1984) 221 USPQ 481, 485 (emphasis added). In Lindermann, the Federal Circuit found that the trial court had erred in treating the claims of the prior art reference "as mere catalogs of separate parts in disregard of the part-to-part relationships set forth in the claims and that give the claims their meaning."

In this case, the Examiner's rejection of claims 13 through 15 does not consider that the

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arrangement of the elements as set forth in those claims is not anticipated by Studer. Specifically, as shown in Figures 15 and 16, Studer discloses that the first second hydraulic motor (61;161) and the second hydraulic motor (46; 163) are each attached on the same side of the weight housing (150). There is not disclosure made anywhere within Studen, either within the specification or the drawings, in which the two motors are on opposite sides of the weight housing as disclosed and claimed by the Applicant.

Claim 13 of the Application, paragraph (b) provides the following arrangement of the elements:

> a drum assembly disposed within the drum housing, the drum assembly comprising: (i) a drum having a first end and a second end . . . a first shaft extending through the drum . . . a first weight housing adjacent and coupled to the first end, the first shaft extending through the first weight housing . . . a second weight housing adjacent and coupled to the second end . . . a first hydraulic motor coupled to the first shaft and . . . a second hydraulic motor for rotating the drum connected to the second weight housing [emphasis added]

The italicized elements from claim 13 are not arranged in any manner disclosed by Studer. The different arrangement of the motors as claimed in the Application has functional implications which distinguish the Applicant's invention from that of Studer. As disclosed within the application, "for the harvester disclosed in the '506 patent [Studer] both the first and second motor are mounted to the the outside of the torsion spring, on the same side of the drum, thereby requiring removal of both motors to replace the spring." (Page 4, lines 18-20). As shown in Figures 10 and 12 of the Application, and as claimed in claims 13 through 15, the first motor 76 and the second motor 88 are on opposite sides of drum 64, overcoming the maintenance disadvantage identified within Studer.

In addition, Applicant has amended claim 13 to add limitations to the spring coupling which are not present within Studer. The Examiner states that, among other things, Studer discloses "a second hydraulic motor (46) for rotating the drum connected to the second weight housing (150) with a spring coupling (165) comprising a plurality of springs disposed between two plates (unnumbered)..." (Emphasis added). Studer actually only discloses a single spring, describing this portion of his invention as follows:

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A second motor 163 may be mounted around but not coupled to the shaft 156 and has a hollow shaft 164. A torsion spring 165 is mounted around the shaft 156 but not coupled to it; one end of the spring 165 is secured to the hollow shaft 164, and its other end is secured to a vibrator frame 166. The vibrator frame 166 is secured to the hub 155 to which the disk 150, 151, etc., are all secured. In place of the torsion spring 165 a hydraulic spring like that of FIG. 6 may be used.

Col. 10; lines 12-20.

Studer therefore discloses a single spring which is mounted around the shaft 156.

However, the present amendment of claim 13 adds the further limitation that the springs are not around the shaft, but on either side of the shaft:

a second hydraulic motor for rotating the drum connected to the second weight housing with a spring coupling, the spring coupling comprising a plurality of springs disposed between two <u>end</u> plates, the end plates on either side of a center plate which supports the first shaft

The Application provides antecedent basis for the limitation added by the amendment at page 12, lines 18-24.

### III.

### Claim Rejections - 35 USC § 103

### A. Claims 1 and 6 - Summary of Examiner's Grounds for Rejection

In paragraphs 7 through 9, the Examiner rejected claims 1 and 6 under 35 U.S.C. 103 as being obvious.

With respect to claims 1 and 6, the Examiner states these claims are unpatentable over Cetrulo (United States Patent No. 3,921,375) in view of Hobbs (United States Patent No. 4,184,314). The Examiner asserts it would have been obvious to one having ordinary skill in the art to have included the folding conveyor of Hobbs on the harvester of Cetrulo in order to move the conveyors to an operative position for storage and transportation.

## B. Applicant's Reply to Examiner's Rejection of Claims 1 and 6 - The Examiner Has Failed to Establish a Prima Facie Case of Obviousness

Pursuant to MPEP 2143, the Examiner must show the following to establish a prima facie case of obviousness: (1) "there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify

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the reference or to combine reference teachings"; (2) "there must be a reasonable expectation of success"; and (3) "the prior art reference (or references when combined) must teach or suggest all the claim limitations." The modification or combination of the prior art by the Examiner to reject claims as prima facie obvious is proper "as long as there is a reasonable expectation of success." MPEP 2143.02.

In this case, without considering the other elements of a prima facie case for obviousness, the second element is not satisfied with respect to claims 1 and 6. Notwithstanding the assertions of the Examiner, it is not possible to combine the folding conveyor of Hobbs with the harvester of Cetrulo, without substantial reconstruction or redesign of the harvester of Cetrulo. However, the suggestion to combine the references must not require substantial reconstruction or redesign of the references to arrive at the claimed invention. As stated within MPEP 2143.01: "If the proposed modification or combination of the prior art would change the principle of operation of the prior art invention being modified, then the teachings of the references are not sufficient to render the claims prima facie obvious. In re Ratti, 270 F.2d 810, 123 USPQ 349 (CCPA 1959."

As stated within the Declaration of Greg Brannstrom, which is enclosed herewith, Cetrulo's harvester has an upper conveyor 150 in spaced relation to lower conveyor 74, spaced such that tomato vines are transferred by being sandwiched between the two conveyors. However, the outrigger conveyors of Hobbs are able to pivot from a (Brannstrom Decl. § 7). first position with the outriggers in the same relative position as the central conveyor B to a second position with the outriggers at approximately a right angle to the central conveyor "because there is no structure above either of the conveyors to prevent" such pivoting of the conveyors. (Brannstrom Decl. § 8). Quite simply, the lower conveyors 74 of Cetrulo cannot be made to pivot in the same manner as the conveyors of Hobbs, because such pivoting would be prevented by upper conveyor 150, which directly and immediately overlies the lower conveyors. Cetrulo would have to be substantially reconstructed or redesigned to make the modification or combination suggested by the Examiner.

### C. Claims 2, 3, 7 and 8 - Summary of Examiner's Grounds for Rejection

In paragraph 10, the Examiner rejected claims 2, 3, 7 and 8 under 35 U.S.C. 103 as being obvious. The Examiner states these claims are unpatentable over Cetrulo (United States Patent No. 3,921,375) in view of Hobbs (United States Patent No. 4,184,314) as applied to claims 1 and 6 above, and in further view of Gilbert (United States Patent No. 3,581,484), which discloses a harvester utilizes rods connected by a belt. The Examiner concludes that it would have been obvious to one having ordinary skill in the art at the time the invention was made to include the rods of Gilbert on the harvester of Cetrulo and Hobbs in order to aid in lifting.

## D. Applicant's Reply to Examiner's Rejection of Claims 2, 3, 7 and 8 - The Examiner Has Failed to Establish a Prima Facie Case of Obviousness for the Underlying Base Claims

For the reasons stated above, Applicant respectfully submits that the Examiner has not established a prima facie case of obviousness for the respective underlying base claims for the rejected claims, i.e., independent claim 1 for dependent claims 2 and 3 and independent claim 6 for dependent claims 7 and 8.

### E. Claims 4, 5, and 9-12 - Summary of Examiner's Grounds for Rejection

In paragraph 11, the Examiner rejected claims 4, 5, and 9-12 under 35 U.S.C. 103 as being obvious. The Examiner states these claims are unpatentable over Cetrulo (United States Patent No. 3,921,375) in view of Hobbs (United States Patent No. 4,184,314) as applied to claims 1 and 6 above, and in further view of Carpenter (United States Patent No. 2,065,169). Pertinent to claim 4, Carpenter discloses a harvester utilizing a lower cross-conveyors transversely mounted at the top end of the outrigger conveyor. Pertinent to claims 5, 11 and 12, Carpenter discloses an intermediate endless loop conveyor approximately parallel to the center of the harvester, where the intermediate conveyor receives crops and vines from the cross conveyor. Pertinent to claims 9 and 10, Carpenter discloses a lower right cross-conveyor and a lower left cross-conveyor. The Examiner concludes it would have been obvious to one having ordinary skill in the art at the time the invention was made to include the cross-conveyors of Carpenter on the harvester of Cetrulo and Hobbs in order to deliver crops transversely.

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# F. Applicant's Reply to Examiner's Rejection of Claims 4, 5, 9 - 12 - The Examiner Has Failed to Establish a Prima Facie Case of Obviousness for the Underlying Base Claims

For the reasons stated above, Applicant respectfully submits that the Examiner has not established a prima facie case of obviousness for the respective underlying base claims for the rejected claims, i.e., independent claim 1 for dependent claims 4 and 5 and independent claim 6 for dependent claims 9 and 12.

### G. Claims 18-20 - Summary of Examiner's Grounds for Rejection

In paragraph 12, the Examiner rejected claims 18-20 under 35 U.S.C. 103 as being obvious. The Examiner states these claims are unpatentable over Studer (United States Patent No. 4,232,506) in view of Cetrulo (United States Patent No. 3,921,375). The Examiner states that it would have been obvious to one having ordinary skill in the art at the time the invention was made to include the conveyors of Cetrulo on the harvester of Studer in order to widen the pickup area.

## H. Applicant's Reply to Examiner's Rejection of Claims 18-20 - The Amendment of Claim 18 Includes a Limitation Not Anticipated by Studer and Cetrulo

Applicant has amended claim 18 to add limitations to the spring coupling which are not present within Studer or Cetrulo. The Examiner states in paragraph 12 that, among other things, Studer discloses "a second hydraulic motor (46) for rotating the drum connected to the second weight housing (150) with a spring coupling (165) comprising a *plurality of springs* disposed between two plates (unnumbered). . ." (Emphasis added). Studer actually only discloses a single spring, describing this portion of his invention as follows:

A second motor 163 may be mounted around but not coupled to the shaft 156 and has a hollow shaft 164. A torsion spring 165 is mounted around the shaft 156 but not coupled to it; one end of the spring 165 is secured to the hollow shaft 164, and its other end is secured to a vibrator frame 166. The vibrator frame 166 is secured to the hub 155 to which the disk 150, 151, etc., are all secured. In place of the torsion spring 165 a hydraulic spring like that of FIG. 6 may be used.

### Col. 10; lines 12-20.

Studer therefore discloses a single spring which is mounted <u>around</u> the shaft 156.

However, the present amendment of claim 18 adds the further limitation that the springs are

not around the shaft, but on either side of the shaft:

a second hydraulic motor for rotating the drum connected to the second weight housing with a spring coupling, the spring coupling comprising a plurality of springs disposed between two end plates the end plates on either side of a center plate which supports the first shaft

The Application provides antecedent basis for the limitation added by the amendment at page 12, lines 18-24.

The amendment of the underlying base claim 18 similarly limits claims 19-20.

#### I. Claims 23-25 - Summary of Examiner's Grounds for Rejection

In paragraph 13, the Examiner rejected claims 23-25 under 35 U.S.C. 103 as being obvious. The Examiner states these claims are unpatentable over Studer (United States Patent No. 4,232,506) in view of Hobbs (United States Patent No. 4,184,314). The Examiner states that it would have been obvious to one having ordinary skill in the art at the time the invention was made to include the folding conveyors of Hobbs on the harvester of Studer in order to move the conveyors to an inoperative position for storage and transportation.

#### Applicant's Reply to Examiner's Rejection of Claims 23-25 - The Amendment of J. Claim 23 Includes a Limitation Not Anticipated by Studer and Hobbs

Applicant has amended claim 23 to add limitations to the spring coupling which are not present within Studer or Hobbs. The Examiner states in paragraph 14 that, among other things, Studer discloses "a second hydraulic motor (46) for rotating the drum connected to the second weight housing (150) with a spring coupling (165) comprising a plurality of springs disposed between two plates (unnumbered). . . " (Emphasis added). Studer actually only discloses a single spring, describing this portion of his invention as follows:

> A second motor 163 may be mounted around but not coupled to the shaft 156 and has a hollow shaft 164. A torsion spring 165 is mounted around the shaft 156 but not coupled to it; one end of the spring 165 is secured to the hollow shaft 164, and its other end is secured to a vibrator frame 166. The vibrator frame 166 is secured to the hub 155 to which the disk 150, 151, etc., are all secured. In place of the torsion spring 165 a hydraulic spring like that of FIG. 6 may be used.

Col. 10; lines 12-20.

Studer therefore discloses a single spring which is mounted around the shaft 156.

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1	However, the the present amendment of claim 23 adds the further limitation that the springs are
2	not around the shaft, but on either side of the shaft:
3	a second hydraulic motor for rotating the drum connected to the second weight housing with a spring coupling, the spring coupling comprising a plurality of springs disposed between two end plates the end plates on either side of a center
5	plate which supports the first shaft
6	The Application provides antecedent basis for the limitation added by the amendment
7	at page 12, lines 18-24.
8	The amendment of the underlying base claim 23 similarly limits claims 24-25.
9	K. Allowable Subject Matter - Claims 16, 17, 21, 22, 26 and 27
10	The Examiner states in paragraph 15 that claims 16, 17, 21, 22, 26 and 27 are objected to
11	as being dependent upon a rejected base claim but would be allowable if rewritten in independent
12	form including all of the limitations of the base claim and any intervening claims. Applicant
13	respectfully submits that the amendments of the underlying base claims (claims 13, 18 and 23)
14	overcome the Examiner's rejections of those claims, such that the claims dependent on the base
15	claims, including those listed above, are allowable without further modification.
16	$\mathbf{IV}$
17	Conclusion
18	Applicant respectfully submits that this submission is fully responsive to the April 21,
19	2004 Office Action, and these claims are in condition for allowance. The issuance of a Notice of
20	Allowance at an early date is therefore requested.
21	If the Examiner believes a telephone conference would expedite prosecution of this
22	application, please telephone the undersigned at (661)395-1000.
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24	Respectfully submitted,
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26	JAMES M. DUNCAN
27	Reg. No. 44,664